TITLE 11—DEPARTMENT OF PUBLIC SAFETY Division 45—Missouri Gaming Commission

Chapter 5—Conduct of Gaming

PROPOSED AMENDMENT

11 CSR 45-5.225 Request for Approval of Gaming Devices, [and Associated] Equipment, and Systems. The commission is amending the title, purpose statement, and sections (1)-(7), adding a new section (2), and renumbering accordingly.

PURPOSE: This amendment requires licensees to provide a document outlining how any new gaming equipment or system complies with existing regulations and clarifies what gaming equipment requires testing.

PURPOSE: This rule establishes the process for requesting approval of gaming devices, [associated] equipment, and [associated] systems for use in Missouri. This rule also addresses new technology and field trials associated with new technology.

- (1) Prior to any new technology being certified for use in Missouri, the *[manufacturer]* licensee shall consult with the commission to ensure such new technology would be compliant with Missouri rules, regulations, and statutes.
- (2) Prior to any associated system being certified for use in Missouri, the licensee shall submit a document to the commission listing all applicable regulations and how the system complies with each. The document shall be updated with any applicable rule changes or system modifications and submitted to the commission.
- [(2)](3) The commission is the sole approval authority for all gaming devices, associated equipment, [and] associated systems, bill validators, ticket printers, shufflers, and progressive controllers. After submitting a request for approval, [supplier, Class A, and Class B] the licensee[s] must receive an authorization letter from the commission before such gaming devices, associated equipment, [and] associated systems, bill validators, ticket printers, shufflers, and progressive controllers are considered "approved" for use in the state of Missouri. [Associated systems include any systems connected to or interfacing with gaming devices.]
- [(3)](4) Gaming devices, associated equipment, [and] associated systems, bill validators, ticket printers, shufflers, and progressive controllers shall be tested and certified by a licensed independent testing laboratory (ITL) prior to submitting a request for approval as required in this rule. Gaming devices, associated equipment, [and] associated systems, bill validators, ticket printers, shufflers, and progressive controllers shall comply with the applicable Missouri statutes, regulations, and Minimum Internal Control Standards.
- [(4)](5) The [supplier, Class A, or Class B] licensee submitting a request for approval of a gaming device, associated equipment, [or an] associated system, bill validator, ticket printer, shuffler, or progressive controller shall do so through the commission's electronic portal.
- (A) All information in the request shall be complete and accurate. [Should such request be] If any information is later determined to be inaccurate, the [commission] licensee shall [be notified] immediately notify the commission. The request shall include the following:

- 1. ITL's certification documentation;
- 2. A complete list of hardware and software modifications requested for approval;
- 3. Test Script version number used by the ITL for testing;
- 4. Probability Accounting Report (PAR) sheets, if applicable;
- 5. Documentation describing the installation, configuration, and operating procedures;
- 6. The applicable functionality being requested; and
- 7. Any additional supplemental documentation clarifying the technology requested for approval (e.g., white paper).
- (B) Additional information may be requested by the commission at any time, including the digital image(s) (critical executable files) of the production version of the device or system.
- (C) The submitting [supplier, Class A, or Class B] licensee shall digitally sign a statement that the product meets all regulatory requirements.
- [(5)](6) The commission may make a preliminary, nonbinding determination whether any new gaming device, associated equipment, [or] associated system, bill validator, ticket printer, shuffler, or progressive controller meets the Missouri rules, regulations, and statutes. At the commission's sole discretion, the commission may require any new gaming device, associated equipment, [or] associated system, bill validator, ticket printer, shuffler, or progressive controller to be tested in a field trial environment(s) at a licensed gaming establishment(s). Each field trial shall be conducted for at least thirty (30) calendar days and no more than one hundred eighty (180) calendar days under terms and conditions that the commission may approve or require. The supplier shall submit a report to the commission every thirty (30) days detailing the performance of the product being tested, exception reports outlining any exception codes triggered, a list of customer complaints and inquiries regarding the performance, and other items as determined by the commission. A field trial may be terminated at any time, in which case the new gaming device, associated equipment, [or] associated system, bill validator, ticket printer, shuffler, or progressive controller will not be approved as a result of such field trial.
- [(6)](7) The licensee manufacturing or offering any new gaming device, associated equipment, [or] associated system, bill validator, ticket printer, shuffler, or progressive controller shall be responsible for providing, in a format acceptable to the commission, electronic training modules denoting interrelationships between approved gaming devices and associated hardware/software and the systems. Subject matter expert(s) may be requested for training as determined necessary by the commission. All costs associated with providing personnel and equipment shall be borne by the licensee.
- [(7)](8) The testing, review, and approval shall be required prior to the implementation of any new gaming device, associated equipment, [or] associated system, bill validator, ticket printer, shuffler, or progressive controller. Once implemented, testing, review, and approval shall be required prior to any changes. Testing, review, and approval may be required at any other time the commission deems appropriate.

AUTHORITY: sections 313.004 and 313.807, RSMo 2016, and sections 313.800 and 313.805, RSMo Supp. [2021]2024.* Original rule filed Jan. 30, 2014, effective Sept. 30, 2014. Amended: Filed Feb. 25, 2022, effective Sept. 30, 2022. Amended: Filed Dec. 5, 2024.

*Original authority: 313.004, RSMo 1993, amended 1994, 2014, and 313.805, RSMo 1991, amended 1992, 1993, 1994, 2000, 2008, 2010, 2021.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment via email to MGCPolicy@mgc.dps.mo.gov, or by mail to the Missouri Gaming Commission, Policy Section, PO Box 1847, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. A public hearing is scheduled for February 18, 2025, at 10:00 a.m., in the Missouri Gaming Commission's Hearing Room, 3417 Knipp Drive, Jefferson City, MO.